

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:  
QUN ZHU ET AL.

CASE NO.: BB-1436

APPLICATION NO.: 09/890,811

GROUP ART UNIT: 1638

FILED: JANUARY 30, 2002

EXAMINER: PHUONG T. BUI

CONFIRMATION NO.: 6616

FOR: SPF1-RELATED TRANSCRIPTION FACTORS

**PRELIMINARY AMENDMENT  
AND RESPONSE TO RESTRICTION REQUIREMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a Preliminary Amendment and Response to the Restriction Requirement set forth in the Office Action mailed September 23, 2003.

A Petition for Extension of Time for one (1) month up to and including November 24, 2003 (Monday), is filed simultaneously herewith.

Please enter the following:

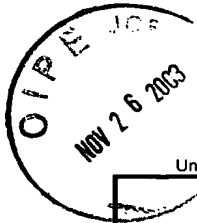
**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of the claims which begins on page 4 of this paper.

**Amendments to the Drawings** begin on page 6 of this paper and include three (3) replacement drawing sheets, attached hereto at the end of this paper.

**Remarks** begin on page 7 of this paper.

**Response to Restriction Requirement** begins on page 8 of this paper.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on 24 NOVEMBER 2003  
Date

  
Signature

SUSAN L. DURKEE  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

09/890,811

BB-1436

PRELIM. AMEND. AND RESP. TO RESTRICTION REQUIREMENT

REPLACEMENT DRAWINGS (3 SHEETS)

PETITION FOR EXTENSION OF TIME

FEE TRANSMITTAL

RETURN RECEIPT POSTCARD

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.